

# Transport for the North Audit & Governance Committee Agenda

<b>Date of Meeting</b>	<b>Friday 15 December 2023</b>
<b>Time of Meeting</b>	<b>11.00 am</b>
<b>Venue</b>	<b>Virtual</b>

### Filming and broadcast of the meeting

Meetings of the Transport for the North are 'webcast'. These meetings are filmed and broadcast live on the Internet. If you attend this meeting you should be aware that you might be filmed and included in that transmission.

Item No.	Agenda Item	Page
<b>1.0</b>	<p><b>Welcome and Apologies</b></p> <p>The Chair to welcome Members to the meeting.</p> <p><b>Lead:</b> Chair</p>	
<b>2.0</b>	<p><b>Declarations of Interest</b></p> <p>Members are required to declare any personal, prejudicial, or disclosable pecuniary interest they may have relating to items on the agenda and state the nature of such interest.</p> <p><b>Lead:</b> Chair</p>	
<b>3.0</b>	<p><b>Minutes from the Previous Meeting (5 minutes)</b></p> <p>To approve as a correct record the minutes of the Audit &amp; Governance Committee held on 15 September 2023 (including updates on agreed matters arising as appropriate).</p> <p><b>Lead:</b> Chair</p>	5 - 10
<b>4.0</b>	<p><b>Appointment of Vice Chair (5 minutes)</b></p> <p>To consider the appointment of a Committee Vice Chair</p> <p><b>Lead:</b> Chair</p>	
<b>5.0</b>	<p><b>Corporate Risk Review (30 minutes)</b></p> <ul style="list-style-type: none"> <li>• To highlight significant risks</li> <li>• Corporate Risk Assurance report</li> <li>• Deep dive schedule</li> </ul> <p><b>Lead:</b> Daniella Della-Cerra-Smith</p>	11 - 46

<b>6.0</b>	<p><b>Quarterly Operating Report July-September 2023 (10 minutes)</b></p> <p>To consider the Quarterly Operating Report for July to September 2023.</p> <p><b>Lead:</b> Paul Kelly</p>	47 - 60
<b>7.0</b>	<p><b>Internal Audit Update (15 minutes)</b></p> <p>To consider the Internal Audit update progress report.</p> <p><b>Lead:</b> Alex Hire</p>	61 - 70
<b>8.0</b>	<p><b>External Audit Update (10 minutes)</b></p> <p>To consider the external audit update.</p> <p><b>Lead:</b> Daniel Watson</p>	Verbal Report
<b>9.0</b>	<p><b>Preparation of the Annual Governance Statement (15 minutes)</b></p> <p>To consider the progress update on the Annual Governance Statement.</p> <p><b>Lead:</b> Joanne Barclay</p>	71 - 86
<b>10.0</b>	<p><b>Monitoring the Effectiveness of the Audit and Governance Committee (15 minutes)</b></p> <p>To appraise Members on the implementation of the CIPFA self-assessment tool.</p> <p><b>Lead:</b> Joanne Barclay</p>	87 - 88
<b>11.0</b>	<p><b>Date and Time of Next Meeting</b></p> <p>The next meeting of the Audit and Governance Committee will be held on Friday 8 March at 11am.</p>	